

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Michael H. Perrott

Title:

METHOD AND APPARATUS FOR ACQUIRING A FREQUENCY

WITHOUT A REFERENCE CLOCK

Application No.: 09/888,663

Filed:

June 25, 2001

Examiner:

Glenn, Kimberly E.

Group Art Unit: 2817

Atty. Docket No.: 026-0012

September 29, 2003

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

Form(s) PTO-1449

Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

- 1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described below; or
- an admission that the information cited herein is, or is considered to be, material 3. to patentability as defined in  $\S 1.56(b)$ .

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

## **FEE AUTHORIZATION**

	This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.								
	octore the maining date of a H	t this Information Disclosure Statement is being filed rst Office action on the merits or before the mailing ter the filing of a request for continued examination fee is believed required.							
If however, this Information Disclosure Statement is filed after the period specified in § 1.97(b), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.									
CERTIFICATE	OF MAILING OR TRANSMISSION	Respectfully submitted,							
I hereby certify that, on the date shown below, this correspondence is being									
<ul> <li>☑ deposited with the US Postal Service with sufficient postage as first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</li> <li>☐ facsimile transmitted to the US Patent and Trademark Office.</li> <li>☐ Micole Teitler Cave</li> </ul>		Nicole Teitler Cave, Reg. No. 54,021 Attorney for Applicant(s) (512) 347-9030 (512) 347-9031 (fax)							

EXPRESS MAIL LABEL:

O.S. Department of Commerce, Patent and Trademark Office  O 2 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Attorney Docket No.:	026-0012		
					Application No.:	09/888,663		
v - 1	NFORM.	ATION DISCLOSUR	Applicant(s):	Michael H. Perrott				
(Use several sheets if necessary)					Filing Date: June 25, 2001		I	
					Group Art Unit:	Group Art Unit: 2817		
					Date Submitted:	September 29	, 2003	
	T	<del></del>	U.S. Patent Doc	uments				
*Examiner Initial		Docume Numbe			Name			
	AA	5,036,529	Jul. 30, 1991	Shin				
	AB							
	AC							
	AD					(C)	72	
	AE					5800 HAIL	, ?	
	AF					0 11	17	
	AG					=		
	AH					ROOM		
	AI							
	AJ							
	AK							
·			Foreign Patent Doo	uments				
	<del></del>			<del></del>	Translation			
	AL	Documen	Date	<u>-</u>	ountry	Yes	N	
	AM							
	AN					+		
	AP							
		OTHER	ART (Including Author Tide	Doto Bard'	D D			
	AR	Matsumoto, Y., et al.	"An adaptive decision threshold	control of the	metical manage of the	gigabit terrestr	ial	
	AS	DWDM transmission systems", 2000 Optical Society of America, pp. TuR2-1 thru TuR2-3  Kawai, M., et al., "Smart Optical Receiver With Automatic Decision Threshold Setting and Retiming Phase Alignment", Journal of Lightwave Technology, Vol. 7, No. 11, November 1989, pp. 1634-1640						
	AT			,	1707, рр. 1034-1040			
aminer			Date Considered					
AMINER	Initial if	reference considered	hether or not citation is in confo		<del></del>			